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# TRANSMITTAL FORM

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Total Number of Pages in This Submission

Application Number

10/827,121

Filing Date

April 16, 2004

First Named Inventor

John D. Baxter

Group Art Unit

1656

Examiner Name

Alexander D. Kim

Attorney Docket Number

407J-981114US

## ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form  
☐ Fee Attached  
☐ Amendment / Response  
☐ Amendment and Request for Reconsideration  
☐ Affidavits/declaration(s)  
☐ Extension of Time Request  
☒ Receipt Acknowledgement Postcard  
☒ Information Disclosure Statement  
☐ Certified Copy of Priority Document(s)  
☐ Response to Missing Parts/Incomplete Application  
☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☒ PTO-1449 Form  
☒ Cited References  
☐ Copy of PCT Search Report  
☐ Copy of EP Search Report  
☐ Petition to Convert to a Provisional Application  
☐ Power of Attorney, Revocation Change of Correspondence Address  
☐ Terminal Disclaimer  
☐ Small Entity Statement  
☐ Request for Refund

- ☐ After Allowance Communication to Group  
☐ Appeal Communication to Board of Appeals and Interferences  
☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  
☐ Proprietary Information  
☐ Status Letter  
☐ Additional Enclosure(s) (please identify below):

### Authorization to Charge Deposit Account

Please charge Deposit Account No. 50-0893 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

Gary Baker, Reg. No. 41,595, Quine Intellectual Property Law Group, P.C.

Signature

Date

Nov 7, 2006

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name

Amelia Weintraub

Signature

Date

November 7, 2006



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QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.

By

Amelia Weintraub  
Amelia Weintraub

Attorney Docket No. 407J-981114US  
Client Ref. No. SF96A-026-7

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

John D. Baxter, et al.

Application No.: 10/827,121

Filed: April 16, 2004

For: NUCLEAR RECEPTOR LIGANDS  
AND LIGAND BINDING DOMAINS

Confirmation No. 1501

Examiner: Alexander D. Kim

Art Unit: 1656

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR § 1.97 and  
§ 1.98

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

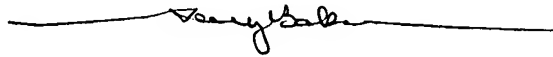
The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

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Application No.: 10/827,121  
Page 2

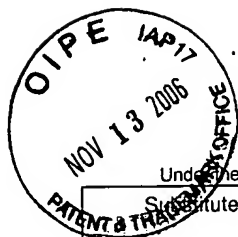
Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action on the merits per 37 CFR 1.97(b)(3). However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 50-0893. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Gary Baker", is written over a horizontal line.

Gary Baker  
Reg. No. 41,595

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Substitute for form 1449A-B/PTO

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(use as many sheets as necessary)

## Complete if Known

Application Number	10/827,121
Filing Date	April 16, 2004
First Named Inventor	John D. Baxter
Group Art Unit	1656
Examiner Name	Alexander D. Kim
Attorney Docket Number	407J-981114US
Date Submitted	November 7, 2006

## U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, lines, Where Relevant Passages or Relevant Figures Appeal
		Number	Kind Code (if known)			

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Office	Number	Kind Code (if known)				

## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
	1	BEST ET AL. (1961) "Comparative Effects of Thyroxin Analogues as Hypocholesteremic Agents." <i>Circulation</i> , 24:58-67	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.